

quant Mutual Fund – Brokerage Rates[§]

(Lump-sum, SIP-STP)

(1st July to 31st July '24)

Category Classification – AUM with quant Mutual Fund	
BASE Plus	AUM ≥ 1 Crore AUM (Target from Dec 01, '24 will be Rs.2 Cr)
BASE	>=25-1 Crore AUM*
OPEN	<25 LAKH AUM**

Powered by VLRT Investment Framework				Trail Brokerage (Perpetual)		
Name of the Scheme	Category	Taxation	Exit Load Structure	Base Plus	Base	Open
				(%)	(%)	(%)
quant Small Cap Fund	Equity - Small Cap	Equity	1 Year / 1 %	0.60	0.50	0.40
quant Active Fund	Equity - Multi Cap	Equity	15 Days / 1%	0.65	0.55	0.40
quant ELSS Tax Saver Fund	Equity - ELSS	Equity	Lock in 3 Years	0.75	0.65	0.55
quant Mid Cap Fund	Equity - Mid Cap	Equity	3 Month / 0.5 %	0.70	0.60	0.55
quant Flexi Cap Fund	Equity – Flexi Cap	Equity	15 Days / 1%	0.90	0.80	0.70
quant Absolute Fund	Hybrid - Aggressive	Equity	15 Days / 1%	0.95	0.85	0.75
quant Infrastructure Fund	Thematic	Equity	3 Month / 0.5 %	0.95	0.85	0.75
quant Large and Mid-Cap Fund	Equity – Large & Mid Cap	Equity	15 Days / 1%	0.95	0.85	0.75
quant Quantamental Fund	Thematic - quant	Equity	15 Days / 1%	0.95	0.85	0.75
quant Momentum Fund	Thematic	Equity	15 Days / 1%	0.95	0.85	0.75
quant Value Fund	Thematic	Equity	15 Days / 1%	1.15	1.00	0.85
quant Business Cycle Fund	Thematic	Equity	15 Days / 1%	1.15	1.00	0.85
quant Focused Fund	Equity - Focus	Equity	15 Days / 1%	1.15	1.00	0.85
quant Large Cap Fund	Equity - Large Cap	Equity	15 Days / 1%	1.15	1.00	0.85
quant PSU Fund	Thematic	Equity	15 Days / 1%	1.15	1.00	0.85
quant Manufacturing Fund	Thematic	Equity	15 Days / 1%	1.15	1.00	0.85
quant Dynamic Asset Allocation Fund	Hybrid	Equity	15 Days / 1%	1.15	1.00	0.85
quant ESG Equity Fund	Thematic	Equity	15 Days / 1%	1.40	1.30	1.20
quant BFSI Fund	Thematic	Equity	15 Days / 1%	1.40	1.30	1.20
quant Healthcare Fund	Thematic	Equity	15 Days / 1%	1.40	1.30	1.20
quant Teck Fund	Thematic	Equity	15 Days / 1%	1.40	1.30	1.20
quant Commodities Fund	Thematic	Equity	15 Days / 1%	1.40	1.30	1.20
quant Consumption Fund	Thematic	Equity	15 Days / 1%	1.40	1.30	1.20
quant Multi Asset Fund	Hybrid	Debt with Indexation	15 Days / 1%	0.95	0.85	0.75
quant Liquid Plan	Liquid	Debt	Staggered (Please Refer Website)	0.25		
quant Overnight Fund	Overnight	Debt	Nil	0.10		
quant Gilt Fund	Debt	Debt	Nil	0.90		

[§] The Brokerage Rates structure will be applicable till the mentioned period or until the AUM of a scheme crosses the SEBI prescribed TER threshold.

* Target from Dec 01, '24 will be Rs.50 LAKH To Rs.2Cr; ** Target from Dec 01, '24 will be Rs.50 LAKH

In case of any regulatory change or Management decision with respect to expense ratio or reduction in TER due to increase in scheme size, the brokerage structure will be tweaked accordingly from the date of change on all asset including SIPs/STPS.

Notes

A. General:

This brokerage structure outlined in the table above are applicable for the period July 01st, '24 to July 31st, '24 However, quant Money Managers Limited (AMC) reserves the right to change the applicable Brokerage Rates as it may deem fit without any prior intimation or notification in the intermittent period in case of Regulatory Changes / Change in Industry practices in respect to payment of Brokerage on Funds or due to any other circumstances which AMC may deem fit.

B. Statutory/ AMFI Regulations

The commission structure communicated by quant Money Managers Limited from time to time is all inclusive i.e. inclusive of any cess, charges, taxes, etc. that may be applicable to the Distributor and eligible for all the applicable statutory deductions, including Income Tax, GST and any other indirect taxes as may be applicable if any.

- 1) Investment in quant Tax Plan (an Open-ended equity linked tax saving scheme with a 3 year lock in) is currently eligible for deduction under Section 80C of the Income Tax Act, 1961. Investors should be requested to consult their tax advisor in this matter.
- 2) The rules and regulations of SEBI/ AMFI pertaining to brokerage payment to distributors will also be applicable for payment of the above mentioned brokerage structure.
- 3) The above brokerage structure is based on the present expense ratio allowed by SEBI. Any change by SEBI in the expense ratio will entail a change in the above brokerage structure.
- 4) In case any Assets under your ARN Code are transferred to another Distributor at the request of the Investor, guidelines issued by SEBI/AMFI will be duly followed
- 5) The distributors shall adhere to all applicable SEBI Regulations and more particularly SEBI circulars dated June 26, 2002 and August 27, 2009 on the Code of Conduct and other guidelines issued by AMFI from time to time for mutual fund distributors and ensure that (i) no rebate is given to investors in any form and (ii) there is no splitting of applications for any benefit.
- 6) In terms of SEBI / AMFI circulars / guidelines, the Channel Partners shall submit to quant Mutual all account opening and transaction documentation including Know Your Client, Power of Attorney (PoA), Account Opening Form, etc. in respect of investors / transactions through Channel Partners. Further, the payment of commission shall be made by AMC depending on the documentation completion status.
- 7) SEBI has communicated to all mutual Fund/ AMCs that any sales, marketing, promotional or other literature / material about the fund house products prepared by its distributors need to adhere and comply with the guidelines issued by SEBI with respect to the advertisement by Mutual Funds. It has further advised the AMCs to take suitable steps for put in place a mechanism for proactive oversight in this regard.
- 8) The Distributor shall not make representations/ statements concerning the units of the schemes other than as contained in the current SID(s), Key Information Memorandum and printed information issued by quant Mutual / quant Money Managers Limited as information supplemental to such documents. The Distributor shall only use such advertising / sales material for distributing / selling activities as provided approved by quant Money Managers Limited when advertising. The Distributor shall not indulge in any kind of malpractice or unethical practice to sell, market or induce any investor to buy mutual qMF units which may directly / indirectly impact quant Mutual / quant Money Managers Limited in any manner.
- 9) In terms of a SEBI directive, the Distributor / Advisor shall not take any Irrevocable Power of Attorney from its clients in connection with investments in the schemes of quant Mutual and that the liability of Distributor / Advisor shall not be limited and depend upon his failure to discharge his obligations.
- 10) AMFI has vide circular dated August 27, 2010 introduced Know Your Distributor (KYD) norms for Mutual Fund Distributors with effect from September 1, 2010, which is similar to Know Your Client (KYC) norms for investors, requiring the distributors to submit identity proof, address, PAN and bank account details with proof. KYD norms are applicable for fresh ARN registrations and ARN renewals effective September 1, 2010. The existing ARN holders are required to comply with these norms by March 31, 2011, failing which AMCs have been mandated to suspend payment of commission till the distributors comply with the requirements. All the Distributors / Advisors are encouraged to complete the KYD requirements at the earliest. The KYD Forms and Process Note are available on AMFI website www.amfiindia.com.
- 11) SIP's will be treated as per the date of transaction; brokerage rate prevailing during the period will be applicable on the STP transaction
- 12) This brokerage structure is subject to EUIN regulations/guidelines as specified by SEBI/AMFI and/or adopted by the Mutual Fund Industry.
- 13) The decision of the AMC shall be considered final.